



**PILLSBURY WINTHROP<sub>LLP</sub>**

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December 3, 2001

Assistant Commissioner for Patents  
**BOX: Missing Parts**  
Washington, DC 20231

Re: Response to Notice to File Missing Parts  
and Information Disclosure Statement  
Appl. No. 09/940,858  
Filed: August 29, 2001  
Title: **Oxidized Forms of Retinoic Acid As  
Ligands for Peroxisome Proliferator  
Activated Receptor Gamma**  
Inventor(s): Plutzky, *et al.*  
Atty. Dkt.: 81994/282421

Sir:

In response to the **Notice to File Missing Parts of Application - Filing Date Granted** dated **October 4, 2001**, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. A copy of the Notice to File Missing Parts of Application - Filing Date Granted (1 page);
2. Declaration Under 37 C.F.R. § 1.63 and Power of Attorney, executed by inventors Jorge Plutzky and Ouliana Ziouzenkova (3 pages);
3. Assignment to The Brigham and Women's Hospital, Inc., executed by inventors Jorge Plutzky and Ouliana Ziouzenkova (2 pages), the recordation of which is respectfully requested;
4. Form PTO-1594, Recordation Form Cover Sheet;



PILLSBURY WINTHROP<sup>LLP</sup>

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December 3, 2001

Page 2

5. Information Disclosure Statement;
6. List of References Cited by Applicant;
7. References AA1 and AL1-AN2;
8. A check in the amount of \$586.00 (**Small Entity status is claimed**)  
representing the following fees:
  - \$ 370.00 Basic Filing Fee (37 C.F.R. § 1.16(a)),
  - 84.00 2 Independent Claims in excess of 3 (37 C.F.R. § 1.16(b)),
  - 27.00 3 Claims in excess of 20 (37 C.F.R. § 1.16(c)),
  - 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16(e)),
  - 40.00 Assignment recordation fee (37 C.F.R. § 1.21(h)); and
9. Form indicating receipt by the PTO of the above items.

It is respectfully requested that the enclosed Receipt be stamped with the date the enclosed documents are received by the PTO and that it be returned as soon as possible.

The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to our Deposit Account No. 03-3975.

Very truly yours,

PILLSBURY WINTHROP LLP

Michael A. Sanzo  
Attorney for Applicants  
Reg. No. 36,912

MAS:ct  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,858	08/29/2001	Jorge Plutzky	81994/282421

CONFIRMATION NO. 5046

## FORMALITIES LETTER



\*OC000000006845414\*

PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102

Date Mailed: 10/04/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$214.
  - \$54 for 3 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1054.**

12/04/2001 HDEHESS1 00000027 09940858

01 FC:201	370.00 OP
02 FC:202	84.00 OP
03 FC:203	27.00 OP
04 FC:205	65.00 OP

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE